

ON THE BEST APPROXIMATION PROPERTY IN NON-ARCHIMEDEAN NORMED SPACES

BY

MASATOSHI IKEDA AND MAHMOUD HAIFAWI

(Communicated by Prof. T. A. SPRINGER at the meeting of September 26, 1970)

1. Introduction

Let E be a non-archimedean normed space over a field k with non-trivial non-archimedean valuation which is supposed to be complete in the topology derived from the valuation. Let $V \subset E$ be a closed linear subspace of E . For a given $x \in E$, a best approximation of x in V is defined to be an element $\xi \in V$ such that

$$\|x - \xi\| = \inf_{g \in V} \|x - g\|.$$

It has been shown by A. F. MONNA [3] that a best approximation of an element $x \in E$ in V when it exists, is never uniquely determined unless $V = \{0\}$. Concerning the existence of best approximations A. F. MONNA [3, problem 1] posed the following problem.

Let E be a non-archimedean normed space satisfying the following condition.

(*) Let V be a closed subspace of E . Let $x_0 \in E$, $x_0 \notin V$. Suppose a best approximation of x_0 in V exists. Does every $x \in E$ have a best approximation in V ?

The purpose of this note is to give a sufficient condition for the existence of best approximations of every element of E in V . The condition (*) is replaced by the following condition:

(**) For every closed proper subspace V of E there exists at least one element $x \in E$, $x \notin V$ which has a best approximation in V .

We show under the condition (**) that for any closed subspace V of E every element in E has a best approximation in V . That the condition (*) is not sufficient for the existence of best approximations of every element of E in a fixed closed subspace V is shown by a counter-example.

2. Our first aim is to prove the following theorem

Theorem. Let E be a non-archimedean normed space over k satisfying the condition (**). Then for any closed subspace $V \subset E$ every element in E has a best approximation in V .

For the proof of this theorem we need two lemmas.

Lemma 1. Let V be a closed subspace of E . If $x_0 \in E$, $x_0 \notin V$ has a best approximation in V , then every element in the space $\{x_0, V\}$ has a best approximation in V .

Proof. Clear.

Lemma 2. Let V, W be two subspaces of E , $V \subset W$. If $x \in E$ has a best approximation in W and if every element in W has a best approximation in V , then x has a best approximation in V .

Proof. By assumption, there is an element $\xi \in W$ such that $\|x - \xi\| = \inf_{y \in W} \|x - y\|$. For this ξ , there is an element $\xi_0 \in V$ such that $\|\xi - \xi_0\| = \inf_{z \in V} \|\xi - z\|$. We claim that $\|x - \xi_0\| = \inf_{z \in V} \|x - z\|$. First $\|x - \xi_0\| \geq \inf_{z \in V} \|x - z\|$ since $\xi_0 \in V$. Furthermore $\|x - \xi_0\| \leq \max \{\|x - \xi\|, \|\xi - \xi_0\|\}$. Since $\|x - \xi\| = \inf_{y \in W} \|x - y\| \leq \inf_{z \in V} \|x - z\|$, we have $\|x - \xi\| \leq \|x - \xi_0\|$. Now if $\|x - \xi\| = \|x - \xi_0\|$, then $\|x - \xi\| = \inf_{y \in W} \|x - y\| \leq \inf_{z \in V} \|x - z\|$ and we are done. Thus, suppose that $\|x - \xi\| < \|x - \xi_0\|$, then $\|x - \xi_0\| = \|\xi - \xi_0\|$. Since $\|\xi - z\| \leq \max \{\|x - \xi\|, \|x - z\|\}$ and $\|x - \xi\| = \inf_{y \in W} \|x - y\| \leq \|x - z\|$ for all $z \in V$, we have $\|\xi - z\| \leq \|x - z\|$. This implies that $\|x - \xi_0\| = \|\xi - \xi_0\| \leq \inf_{z \in V} \|x - z\|$ which completes the proof.

Now we prove the theorem by using Zorn's Lemma.

Let \mathcal{F} be the family of all subspaces $U \supset V$ such that every element of U has a best approximation in V . \mathcal{F} is not empty and it is an inductive family with respect to the inclusion. In fact if $\{U_\alpha\}$ is totally ordered, then $U = \bigcup_{\alpha} U_\alpha$ is clearly in \mathcal{F} . Let W be a maximal element in \mathcal{F} . We claim that W is closed. Let $x \in \overline{W}$ (closure of W) and let $x' \in W$ with:

$$\|x - x'\| < \inf_{y \in V} \|x - y\| \leq \|x - y\|.$$

For $x' \in W$ there exists $\xi_{x'} \in V$ such that

$$\|x' - \xi_{x'}\| = \inf_{y \in V} \|x' - y\|.$$

But $\inf_{y \in V} \|x - y\| \leq \|x - \xi_{x'}\| \leq \max \{\|x - x'\|, \|x' - \xi_{x'}\|\}$. Therefore $\|x - x'\|$ can not be equal to $\|x' - \xi_{x'}\|$. In fact $\|x - x'\| < \|x' - \xi_{x'}\|$. Therefore $\|x - \xi_{x'}\| = \|x' - \xi_{x'}\| = \inf_{y \in V} \|x' - y\|$. Now $\|x' - y\| \leq \max \{\|x - y\|, \|x - x'\|\}$. But for all $y \in V$, $\|x - y\| > \|x - x'\|$. Therefore $\|x' - y\| = \|x - y\|$. Hence

$$\|x - \xi_{x'}\| = \inf_{y \in V} \|x - y\|.$$

This implies that W is closed.

Now if $W \neq E$, then by condition (**) there exists an $x \notin W$ such that

x has a best approximation in W . Since every element in W has a best approximation in V so, by lemma 2, x has a best approximation in V . Then, by lemma 1, every element in the subspace $\{x, W\}$ has a best approximation in V . Thus $\{x, W\} \in \mathcal{F}$. This contradicts the maximality of W and the proof is complete.

3. Counterexample

We will show by an example that the condition (*) is not sufficient. We will consider the example given by INGLETON [1].

Let E be the space of all formal power series such that every non-zero element $x \in E$ is of the form

$$x = a_1 t^{\alpha_1} + a_2 t^{\alpha_2} + \dots$$

where the α_i are rational numbers well-ordered in natural (ascending) order, and the a_i are non-zero coefficients taken from some field Γ . E is a non-archimedean valued field with $\|x\| = e^{-\alpha_1}$ ($a_1 \neq 0$) and may be regarded as a non-archimedean space over the subfield K with elements such that $\{\alpha_i\}$ is a finite set or simple sequence tending to infinity. K is a closed subfield of E . K is complete but it is not spherically complete [2].

Let u_0 be an element of E , $u_0 \notin K$ so that $u_0 = a_1 t^{\alpha_1} + a_2 t^{\alpha_2} + \dots$, where $\alpha_1 < \alpha_2 < \dots$ is a sequence which converges to an irrational number ϱ . Then for $x \in K$, $\|u_0 - x\| = e^{-\alpha_n + 1}$ for some n and $\inf_{x \in K} \|u_0 - x\| = e^{-\varrho}$. But, there is no element $\xi \in K$ so that $\|u_0 - \xi\| = e^{-\varrho}$. Hence u_0 has no best approximation in K .

Let u_1 be an element of $E \setminus K$ such that $\|u_1\| = \alpha \in \|K\|$. Consider the vector space

$$W = K + Ku_0 + Ku_1.$$

Let us define a norm $\|\cdot\|^*$ on W as follows:

For $y \in W$, $y = x + \lambda u_1$ where $x \in K + Ku_0$, $\lambda \in K$

$$\|y\|^* = \|x + \lambda u_1\|^* := \max \{ \|x\|, \|\lambda\| \alpha \}.$$

This norm is in fact a non-archimedean norm. It suffices to show that $\|\cdot\|^*$ is ultrametric:

Let $x_1 + \lambda_1 u_1$, $x_2 + \lambda_2 u_1$ be two elements of W . Then

$$\begin{aligned} \|x_1 + \lambda_1 u_1 + x_2 + \lambda_2 u_1\|^* &= \|x_1 + x_2 + (\lambda_1 + \lambda_2)u_1\|^* \\ &= \max \{ \|x_1 + x_2\|, \|\lambda_1 + \lambda_2\| \alpha \} \\ &\leq \max \{ \max (\|x_1\|, \|x_2\|), \max (\alpha \|\lambda_1\|, \alpha \|\lambda_2\|) \} \\ &= \max \{ \max (\|x_1\|, \|\lambda_1\| \alpha), \max (\|x_2\|, \|\lambda_2\| \alpha) \} \\ &= \max (\|x_1 + \lambda_1 u_1\|^*, \|x_2 + \lambda_2 u_1\|^*). \end{aligned}$$

This shows that W is a non-archimedean normed space over K .

Now we show that u_1 has a best approximation in K . For this, consider $\inf_{y \in K} \|u_1 - y\|^* = \inf_{y \in K} \{\max(\|u_1\|, \|y\|)\} = \alpha$. Let $y_0 \in K$ such that $\|y_0\| < \alpha$, then $\|u_1 - y_0\|^* = \alpha$. Therefore u_1 has a best approximation in K . This shows that $u_1 \in W \setminus K$ has a best approximation in K but not every element of W has a best approximation in K .

Remark. It is worthwhile to mention that the set of vectors in a non-archimedean normed space E which have best approximations in a fixed subspace V of E is not, in general, a vector space. Indeed, consider the spaces W and K given above. Let z be an element of W of the form $z = \lambda u_0 - u_1$ where u_0, u_1 are the same as mentioned above, and $\lambda \in K$ is chosen so that $\|\lambda u_0\| < \alpha$, ($\alpha = \|u_1\|$). Clearly z has a best approximation in K but $z + u_1$ does not have a best approximation in K .

*Department of Mathematics
Middle East Technical Univ., Ankara*

REFERENCES

1. INGLETON, A. W., The Hahn-Banach theorem for non-Archimedean valued fields. Proc. Cambridge Phil. Society, **48**, 41-45 (1952).
2. MONNA, A. F., Sur les espaces normés non-Archimédiens I, II proc. Kon. Ned. Akad. v. Wetensch. **A59**, 475-483, 484-489 (1956).
3. ———, Remarks on some problems in linear topological spaces over fields with non-Archimedean valuation. Proc. Kon. Ned. Akad. v. Wetensch. **A71**, 484-496 (1968).